CURRICULUM VITAE

MATTEO GARGANTINI
Department of Legal Studies
University of Genova
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ACADEMIC AND TEACHING EXPERIENCE

AY 2024/5 – current **Associate Professor of Business Law**, University of Genova (Italy) (Rect. Decree 1897 of 6 May 2025)

- *Course on Business law*
  - Company law, intellectual property rights, trademarks, competition law
- *Course on European Financial and Insurance Markets Regulation*
  - Capital markets law, insurance law, theory of regulation

AY 2022/3 – 2024/25 **Assistant Professor of Business Law (tenure track)** (RTD-B), University of Genova (Italy)

- *Course on Business law*
  - Company law, intellectual property rights, trademarks, competition law
- *Course on European Financial and Insurance Markets Regulation*
  - Capital markets law, insurance law, theory of regulation

AY 2022/3 – current **Adjunct Professor of Financial Institutions and Markets Law**, Bocconi University (Milan)

- *Course on Financial Institutions and Markets Law*
  - European banking law, European capital markets law (chair: Prof Filippo Annunziata)

AY 2018/9 – 2021/2 **Assistant Professor of European Economic Law**, University of Utrecht (the Netherlands) (FTE 1.0)

- *Course on Law and Economics of Market Regulation* (LLM in Law and Economics; coordinator and main course lecturer)
  - Capital markets law; banking law; Economic and Monetary Union (EMU); price regulation; theory of regulation; environmental law
- *Course on Market Law and Regulation* (bachelor course in Economics; coordinator and main course lecturer)

- Capital markets law; banking law; Economic and Monetary Union (EMU); price regulation; theory of regulation; environmental law

- *Course on Regulating Markets* (MSc in European Governance; lecturer)

- *Course on European Law* (bachelor course in Law – seminar lecturer)
  - Freedom of establishment and free provision of services; free circulation of workers and EU citizenship; Area of Freedom, Security and Justice (AFSJ); European competition law

AY 2021/2022 **Adjunct Professor**, University of Genoa

- *Course on European Financial and Insurance Markets Regulation*

AY 2014/5 – 2019/20 **Teacher**, University of Luxembourg, Master II – European Banking and Financial Law (director: Prof Isabelle Riassetto)

- *Course on Italian Financial Law and Comparative Financial Law*

AY 2018/9 **Adjunct Professor**, LUISS Guido Carli University (Rome)
- *Course on Public law and economics* (chair: Dr Carmine Di Noia and Dr Marcella Panucci)

AY 2016/7 – 2018/9 **Adjunct Professor**, LUISS Guido Carli University (Rome)
- *Course on Market Law and Regulation* (chair: Dr Carmine Di Noia and Prof Paola Lucantoni)

AY 2016/7 – 2018/9 **Teacher**, Sapienza University of Rome
Financial Markets Law (chair: Prof Giuliana Scognamiglio)
Classes on Market Abuse and Prospectus Regulations

AY 2016/7 **Adjunct Professor** (*enseignant*), University of Luxembourg, Master II – European Banking and Financial Law (director: Prof Isabelle Riassetto)
European Securities Law (substituting Prof Pierre-Henri Conac during his sabbatical leave)

AY 2016/7 **Teacher**, Catholic University of Milan, Master II – Compliance in Financial Institutions (director: Prof Antonella Sciarrone Alibrandi)
Classes on Market Abuse Regulation

AY 2014/5 – 2016/7 **Adjunct Professor**, Catholic University of Milan
International Trade Law (European Company Law) (chair: Prof Vincenzo Cariello)
Classes on Private International Company Law

AY 2012/3 **Teaching assistant**, LUISS Guido Carli University (Rome)
Public Law of Economics (chair: Prof Luca Enriques)

AY 2009/10 **Teaching assistant**, LUISS Guido Carli University (Rome)
Corporate Governance (chair: Dr Carmine Di Noia)

AY 2007/8 **Teaching assistant**, Catholic University of Milan
Company Law (chair: Prof Pietro Abbadessa)

Other recent teaching and academic activities

- National and Kapodistrian University of Athens – *Master in Financial Regulation (LL.M.)* (AY 2025/26) – Guest teacher (European regulation of trading venues)
- Frankfurt School of Finance & Management and European Banking Institute – *Master in EU Banking and Financial Regulation (LL.M.)* (AY 2022/23 to 2024/25) – Teacher (sustainable finance; soft law in the financial sector; Multilateral Trading Facilities and Organised Trading Facilities)
- University of Zurich and European Banking Institute – Master in EU Banking and Financial Regulation (LL.M.) (AY 2026/27) – Co-coordinator of the teaching module on "Capital Markets Law"
- University of Utrecht – *PhD supervisor of a thesis on Boards of Appeal* (2021 – current)
- University of Bologna – *Master "Law and Practice of the Banking Union"* (2021) – Lecture on *Boards of Appeal and European Agencies*
- European University Institute (Florence, Italy) – *Summer School on MiFID II and MiFIR* (2019; 2021)
- Catholic University of Milan – *International Summer School Banking & Capital Markets* (2019)
- University of Utrecht – *Summer School "Financial Law and New Technologies"* (2020; 2021) (coordinator)
- Academy of European Law (ERA) – *Anti-Money Laundering* (Brussels and Luxembourg) (2019); *Essentials of EU Financial Services and Financial Markets Law* (Trier) (2021)

PROFESSIONAL EXPERIENCE

Jun. 2016 – Jan. 2019 **Assistant to the Commissioner** (Prof Carmine Di Noia), Commissione Nazionale per le Società e la Borsa (Consob), the Italian Financial Conduct Authority (Rome) (level: officer)

- Support to the Commissioner in his institutional tasks; analysis and assessment of regulatory and supervisory acts submitted to the Commission's approval
- Research in company and financial law areas pertinent to the Authority's remit (corporate governance, market abuse, issuer ad hoc and periodic disclosure, auditing, takeover bids, investment services, trading and post-trading infrastructures, shareholder rights, prospectuses, transparency of major shareholdings, collective investment schemes)

Feb. 2013 – May 2016 **Senior Research Fellow**, Max Planck Institute Luxembourg for International, European and Regulatory Procedural Law (Luxembourg)
(Head of Department: Prof Marco Ventoruzzo; Prof Burkhard Hess)

- Research in financial law (investment services, public enforcement of market manipulation and insider dealing, credit rating agencies, ESMA), banking law (single resolution mechanism), European company law (takeover bids, shareholder rights, related party transactions, corporate governance), international and comparative civil procedural law (allocation of jurisdiction and securities litigation,

class actions), private international law, EU consumer law, EU insolvency law, human rights protection,

- Organisation of conferences and seminars concerning capital markets law and European law

Jun. 2007 – Jun. 2012 **Assistant to the Commissioner** (Prof Luca Enriques), Commissione Nazionale per le Società e la Borsa (Consob), the Italian Financial Conduct Authority (Rome) (level: aide)

- Support to the Commissioner in his institutional tasks; analysis and assessment of regulatory and supervisory acts submitted to the Commission's approval
- Research in company and financial law areas pertinent to the Authority's remit (corporate governance, market abuse, issuer ad hoc and periodic disclosure, auditing, takeover bids, investment services, trading and post-trading infrastructures, shareholder rights, prospectuses, transparency of major shareholdings, collective investment schemes)

Feb. 2006 – Jun. 2007 **Researcher**, Associazione fra le Società Italiane per Azioni (Assonime), the Italian Association of Joint Stock Companies (Milan)

- Policy papers and advice concerning company law and corporate governance, best governance practices for listed companies, issuer ad hoc and periodic disclosure, market abuse, investment services

Sep. 2005 – Jan. 2006 **Intern**, European Commission – DG Internal Market. Unit F2 (Company Law and Corporate Governance) (Brussels)

- Cooperation to the preparatory works of legislative acts (shareholder rights directive) and consultation documents (capital maintenance for public limited companies)

Feb. 2004 – Jul. 2005 **Intern**, Associazione fra le Società Italiane per Azioni (Assonime), the Italian Association of Joint Stock Companies (Milan)

- Cooperation to the preparation of annual reports on corporate governance and best governance practices of listed companies

EDUCATION AND ACADEMIC ACHIEVEMENTS

Italian National Academic Qualification (ASN) as **Full Professor** (Business Law) (2022)

Italian National Academic Qualification (ASN) as **Associate Professor** (Law and Economics and Financial Markets Law) (2013)

PhD in **Law and Economics** (specialisation **Banking and Financial Markets Law**), Faculty of Economics, University of Siena (2010)

Law Degree, Catholic University of Milan (2003) (110/110 *cum laude*)

RESEARCH GRANTS

Delivering a Capital Markets Union through efficient data spaces: data technologies and infrastructures in a broader legal and economic context (DelCMU) (PRIN PNRR 2022; CUP: D53D23022180001; CP: P20222W4N8) (2023) (funded by the European Union – Next Generation EU) (Principal Investigator)

Utrecht Incentive Fund projects (USO) – Faculty of Law, Economics and Governance, Utrecht University, awarded for the project "Dedicated Script USE students of 'European Union Law' and 'Government Law and Regulation'" (2020) (applicant)

European Commission Grant awarded for the project "The Implementation of the New Insolvency Regulation – Improving Cooperation and Mutual Trust (JUST/2013/JCIV/AG/4679), 2014-2016 (Max Planck Institute Luxembourg, University of Milan, University of Vienna) (member of the research team)

SCHOLARSHIPS AND AWARDS

Winner of the 2022-2023 Teaching Award (Student Evaluations) of the Bocconi University (Graduate School – Master of Science Programs)

Winner of the ECMI-CEPS 2022 Annual Award for the Best Paper in area of capital markets, competitiveness, attractiveness and open strategic autonomy (paper: 'Information Intermediaries and Sustainability: ESG ratings and benchmarks in the European Union', with Michele SIRI)

Voted as "Best Lecturer of the Utrecht School of Economics (USE)" for the Academic year 2019/2020 (2020) (selection performed by the USE Student Association – ECU'92 – on the basis of a student survey)

Ph.D. Scholarship, University of Siena (2005-2008)

RESEARCH PROJECTS

2022 – current "Regulating EU Capital Markets Union – Codification of European Securities Law", coord. Prof Rudiger Veil (University of Munich) (member)

2023 – 2026 Delivering a Capital Markets Union through efficient data spaces: data technologies and infrastructures in a broader legal and economic context (DelCMU) (PRIN PNRR 2022; CUP: D53D23022180001; CP: P20222W4N8) (2023) (Principal Investigator)

2023 – 2025 "20 Years Takeover Directive" (European Company Law Experts (ECLE) Group), coord. Proff Susan Emmenegger, Andrés Recalde Castells, Rolf Skog and Martin Winner (member)

2019 – 2024 "European Union Sustainable Finance and Law" (EUSFiL), coord. Prof Michele Siri, University of Genoa (member)

2019 – 2022 "Towards a Pillar on Digitalization & Technological Innovation in Europe" (Algorithmic and High Frequency Trading), RENFORCE, University of Utrecht (member)

2018 – 2022 Boards of Appeal of EU Agencies, Max Planck Institute Luxembourg, University of Bologna, University of Utrecht (member)

2019 – 2021 "The Law and Regulation of Financial Market Infrastructures", coord. Proff Jens Binder, University of Tübingen, and Paolo Saguato, George Mason University (member)

2018 – 2019 International Working Group on Prospectus Regulation & Liability, Radboud University, Nijmegen, coord. Proff Danny Busch and Guido Ferrarini (member)

2017 – 2019 "Regulating Finance in a Post-sectoral World" (FinPoSe), coord. Prof Veerle Colaert, Catholic University of Leuven (member)

2017 – 2018 International working group on Corporate Governance of Financial Institutions, Radboud University, Nijmegen, coord. Proff Danny Busch and Guido Ferrarini (member)

2016 – 2017 International Working Group on Capital Markets Union, Radboud University, Nijmegen Nijmegen, coord. Proff Emilios Avgouleas, Danny Busch and Prof Guido Ferrarini (member)

2015 – 2016 International Working Group on Regulation of the EU Financial Markets, Radboud University, Nijmegen, coord. Proff Danny Busch and Guido Ferrarini (member)

WORKING GROUPS (INTERNATIONAL)

Consultative Working Group (CWG) of ESMA's Corporate Finance Standing Committee (CFSC) (2020-2023; 2023-current)

Consultative Working Group (CWG) of ESMA's Corporate Finance Standing Committee (CFSC) (2015-2016)

WORKING GROUPS (NATIONAL)

Member of the Steering Group for the reform of the Italian Consolidated Law on Finance (2024-current)

RESEARCH EVALUATION GROUPS

OPUS International Panel of Experts, Narodowe Centrum Nauki (NCN), Krakow, Poland

- Foreign member of the expert team (2020, 2021, 2024, 2025, 2026)
- External expert (2024)

Peer reviewer ERC Consolidator Grant 2026

LANGUAGE SKILLS

Italian – Speaking: *native*; Reading: *native*; Writing: *native*

English – Speaking: *proficient (C2)*; Reading: *proficient (C2)*; Writing: *proficient (C2)*

French – Speaking: *intermediate (B1)*; Reading: *advanced (C1)*; Writing: *intermediate (B1)*

AFFILIATIONS AND MEMBERSHIPS

Admitted to the Milan Bar, Italy (2007, currently on hold)

Member of the Italian Association among Business Law Professors (Orizzonti del Diritto Commerciale) (since 2025)

Member of the Academic Research Group of the European Banking Institute (EBI), Frankfurt (since 2022)

Member of the Italian Association of Law and Economics Academics (Associazione dei Docenti di Diritto dell'Economia – ADDE) (since 2015)

EDITORIAL BOARDS

Member of the Advisory Board of the Max Planck Encyclopedia of Comparative Constitutional Law (Heidelberg) (MPECCoL) (on matters pertaining to business and economic law, since 2023)

Editorial Board Member of the Reviews: Rivista delle Società (since 2026); Banca, borsa e titoli di credito (since 2024); Banca Impresa Società (since 2024); Roma Tre Law Review (since 2021)

Co-editor of the EuSFIL SSRN Working Paper Series (2024-2026)

Co-editor of the Genoa Centre University of Genoa Centre for Law and Finance Research Working Paper Series (2026-current)

Peer review tasks performed for primary law journals, including:

- International: *European Company and Financial Law Review*, *Utrecht Law Review*, *Yearbok of European Law*, *Journal of International Financial Management and Accounting*, *Regulation & Governance*, *International Review of Law & Economics, European Law Open*
- Italian: *Rivista di Diritto Bancario*, *Banca Borsa Titoli di Credito*, *Rivista Bancaria - Minerva Bancaria*, *Orizzonti del Diritto Commerciale*

PUBLICATIONS

Books (authored)

'The European Regulation of Securities Exchanges. Regulated Markets in an Evolving Technological and Legal Context' (Giappichelli: 2021 – Diritto dell'Economia Series), IX-207

'Identificazione dell'azionista e legittimazione all'esercizio del voto nelle S.p.A. quotate' [Shareholder Identification and Voting in Listed Companies] (Giappichelli: 2012 – Diritto Commerciale Interno e Internazionale Series), XIV-410

Books (edited)

'Capital Markets Law and Data Regulation in the EU' (forthcoming Edward Elgar Publishing: 2026) (co-edited with Sergio GILOTTA and Michele SIRI)

'The Cambridge Handbook of EU Sustainable Finance' (Cambridge University Press: 2025), XX-784 (co-edited with Kern ALEXANDER and Michele SIRI)

Book Chapters

1. 'European Capital Markets' Integration Beyond Regulatory Harmonisation: The Role of Data Governance' in Matteo GARGANTINI, Sergio GILOTTA and Michele SIRI, *Capital Markets Law and Data Regulation in the EU* (forthcoming Edward Elgar Publishing: 2026)

2. 'A European Market for Financial Information? Some Geopolitical Implications of Data as 'the New Oil' in 'Matteo GARGANTINI, Sergio GILOTTA and Michele SIRI, *Capital Markets Law and Data Regulation in the EU* (forthcoming Edward Elgar Publishing: 2026) (with Michele SIRI)

    - also published in Danny Busch et al (eds), 'Financieel recht en geopolitiek' (Wolters Kluwer: 2025)

3. 'Crowdfunding and Secondary Markets', in Eugenia MACCHIAVELLO and Thomas NEUMANN (eds), *Research Handbook on Crowdfunding Regulation* (forthcoming Edward Elgar Publishing: 2026)

4. 'The EuVECA Regulation and the prospects for its review', in Katarzyna KORNOSZ-KORONOWSKA and Lorenzo MORETTI (eds), *EU competitiveness and the innovation-finance nexus* (European University Institute: 2025), 133-145 (with Leonardo GIANI)

5. 'Acting in concert', in Susan EMMENEGGER, Martin WINNER, Andreas RECALDE CASTELLS and Rolf SKOG (eds), *Unfinished Business: Two Decades with the EU Takeover Directive*, (Cambridge University Press: 2026), 180-214 (with Javier GARCÍA DE ENTERRÍA)

6. 'Disclosure of Inside Information', in Rüdiger Veil (a cura di), *Regulating EU Capital Markets Union: Market Conduct and Corporate Disclosure in a European Code*, Oxford University Press, Oxford, 2025, 74-107 (with Carmine DI NOIA)

7. 'Information Intermediaries and Sustainability ESG ratings and benchmarks in the European Union', in Kern ALEXANDER, Matteo GARGANTINI and Michele SIRI (eds), The Cambridge Handbook of EU Sustainable Finance (Cambridge University Press: 2025), 280-316 (with SIRI, Michele)
    - Winner of the ECMI-CEPS 2022 Annual Award for the Best Paper in area of capital markets, competitiveness, attractiveness and open strategic autonomy
    - Featured in the Columbia Law School Blue Sky Blog, the FinReg Blog of the Duke Financial Economics Center, and the Oxford Business Law Blog

8. 'Mandatory Disclosure', in Alessio M. PACCES, Edoardo D. MARTINO and Hossein NABILOU (a cura di), *Comparative Financial Regulation* (Edward Elgar: 2025), 56-72

9. 'Regulatory harmonization and fragmentation in the Capital Markets Union', in Ton VAN DEN BRINK and Virginia PASSALAQUA (eds), *Balancing Unity and Diversity in EU Legislation*, (Edward Elgar: 2024), 135-154

10. ‘The New Role of Sustainability Preferences in the Regulation of Investment Services’, in Eugenia MACCHIAVELLO and Michele SIRI (eds), *Sustainable finance and financial education: a snapshot*, (Giappichelli: 2024), 116-128

11. ‘Secondary Markets for Crowdfunding: Bulletin Boards (Art 25)’, in Eugenia MACCHIAVELLO (ed), *Regulation on European Crowdfunding Service Providers for Business* (Edward Elgar: 2022), 350-366

12. ‘Corporate Governance Codes’, in Hanne S. BIRKMOSE, Mette NEVILLE and Karsten ENGSIG SØRENSEN (eds), *Instruments of EU Corporate Governance* (Kluwer Law International: 2022,) 85-111 (with Michele SIRI)

13. ‘Stock and Derivatives Exchanges in the EU’, in Jens BINDER and Paolo SAGUATO (eds), *Financial Market Infrastructures. Law and Regulation*, Oxford, Oxford University Press, 2021, 107-137 (with Michele SIRI)

14. ‘Investitori istituzionali e gestori di attivi’ [Institutional Investors and Asset Managers] (Art. 3h – 3i Direttiva 2007/36/EC), in Federico RAFFAELE and Eugenio RUGGIERO (eds), *Il Recepimento in Italia della Shareholder Rights II* (Wolters Kluwer CEDAM: 2021), 161-197

15. ‘Prospectus Liability. Competent Courts of Jurisdiction and Applicable Law’, in Danny BUSCH, Guido FERRARINI and Jan Paul FRANX, *Prospectus Regulation and Prospectus Liability* (Oxford University Press: 2020), 441-464

    - Quoted in the Opinion of the Advocate General (Campos Sánchez-Bordona), C-709/19, Vereniging van Effectenbezitters v BP plc, 17 December 2020 (ECLI:EU:C:2020:1056) (on corss-border liability for false or misleading corporate disclosure)

16. ‘The Approval of Prospectus. Competent Authorities, Notification and Sanctions’, in Danny BUSCH, Guido FERRARINI and Jan Paul FRANX, *Prospectus Regulation and Prospectus Liability* (Oxford University Press: 2020), 359-389 (with Carmine DI NOIA)

17. ‘Consob’ [The Italian Financial Conduct Authority], in Mario CERA, and Gaetano PRESTI (eds), *Il Testo unico finanziario*, Vol. I, *Prodotti e intermediari* (Zanichelli: 2020), 279-355 (with Luca ENRIQUES)

18. ‘Ownership Allocation and Stakeholder Representation in Financial Institutions’, in Veerle COLAERT, Danny BUSCH and Thomas INCALZA, *European Financial Regulation – Levelling the Cross-Sectoral Playing Field* (Hart Publishing: 2019), 211-226 (with Carmine DI NOIA)

19. ‘Cross-Border Mergers and Cross-Border Takeovers Compared’, in Thomas PAPADOPOULOS, (ed), *Cross-border Mergers Directive: EU perspectives and national experiences* (Springer: 2019), 131-158

20. ‘Risoluzioni Bancarie e BRRD: Diritti di Proprietà ed Equo Processo’[Bank Resoutions and the BRRD: Rights to Property and to a Fair Trial], in Fausto CAGGIA and Giorgio RESTA (eds), *I diritti fondamentali in Europa e il diritto privato* (RomaTre-Press: 2019), 213-272

21. ‘Corporate Governance, Financial Information and EU Market Abuse Regulation’, in Danny BUSCH, Guido FERRARINI and Gerard VAN SOLINGE (eds), *Corporate Governance of Financial Institutions* (Oxford University Press: 2019), 284-306 (with Carmine DI NOIA)

22. ‘Barriers to Shareholder Identification and Voting’, in Hanne BIRKMOSE and Konstantinos SERGAKIS (eds), *Enforcing Shareholders’ Duties* (Edward Elgar: 2019), 214-235

23. 'Private Ordering of Shareholder Litigation in the EU and the US', in Sean GRIFFITH *et al.* (eds), *Research Handbook on Representative Shareholder Litigation* (Edward Elgar: 2018), 439-458 (with Verity WINSHIP)

    - Featured in the Oxford Business Law Blog

24. 'Main Barriers to the Cross-Border Distribution of Investment Funds', in Emilios AVGOULEAS, Danny BUSCH and Guido FERRARINI (eds), *Capital Markets Union in Europe* (Oxford University Press: 2018), 413-441 (with Carmine DI NOIA and Georgios DIMITROPOULOS)

25. 'The EU Securities Law Framework for SMEs: Can Firms and Investors Meet?', Colin MAYER *et al.* (eds), *Finance and Investment: The European Case* (Oxford University Press: 2018), 253-267 (with Marcello BIANCHI and Carmine DI NOIA)

26. 'Insolvency Protocols', in HESS, Burkhard *et al.* (eds), *The Implementation of the New Insolvency Regulation – Improving Cooperation and Mutual Trust* (Hart Publishing and Nomos Verlag: 2017), 156-184 (with Georgia KOUTSOUKOU)

27. 'The Overarching Duty to Act in the Best Interest of Clients in MiFID II: Scope, Contents, Implications', in Danny BUSCH and Guido FERRARINI (eds), *Regulation of EU Financial Markets: MiFID II* (Oxford University Press: 2017), 85-122 (with Luca ENRIQUES)

28. 'I *forum* degli azionisti' [Shareholder Forums], in Federico BRIOLINI (ed), *Principio Capitalistico: Quo Vadis?* (Giappichelli: 2016), 347-373

29. 'Form and Function in Doing Business Rankings: Is Investor Protection in Italy Still So Bad?' in DE DONNO, Barbara *et al*. (eds), *Persona e attività economica tra libertà e regola – Scritti in onore di Diego Corapi* (Editoriale Scientifica: 2016), 2227-2258 (also published in *Bologna Law Review*, 1/2016) (with Luca ENRIQUES)

30. 'The European Securities and Markets Authority: Accountability towards EU Institutions and Stakeholders', in Pablo IGLESIAS RODRIGUEZ (ed), *Building Responsive and Responsible Financial Regulators in the Aftermath of the Financial Crisis* (Intersentia: 2015), 115-184 (with Carmine DI NOIA) (previously published in *Wharton Financial Institutions Center Working Paper Series* #13-34)

31. 'Oltre la *record date*. Gli ostacoli al voto transfrontaliero dopo il recepimento della direttiva sui diritti degli azionisti' [Beyond Record Date. The Obstacles to Cross-Border Voting After the Implementation of the Shareholder Rights Directive], in Laura SCHIUMA (ed), *Governo societario ed esercizio del diritto di voto* (Cedam: 2014), 57-111

32. 'Coordinamento (extra-)assembleare dei soci e azione di concerto nella s.p.a. quotata' [Sahreholder Coordination Within and Outside General Meetings: Concerted Action in Listed Companies], in Mario CAMPOBASSO *et al.* (eds), *Organizzazione, finanziamento e crisi dell'impresa – Scritti in onore di Pietro Abbadessa* (UTET: 2014), 2097-2153

33. 'La S.p.a. nel quadro europeo' [The European Legislation on Public Limited Companies], in Oreste CAGNASSO and Luciano PANZANI (eds), *Le nuove S.p.a.*, Vol. I, Bologna, (Zanichelli: 2013), 327-414 (with Luca ENRIQUES)

Journal Articles and Case Notes

1. ‘La gamification degli investimenti finanziari’ [The Gamification of Investments], Quaderno giuridico Consob n. 32 (2025) (with Concetta BRESCIA MORRA, Dario COLONNELLO, Giulio SANDRELLI e Gianfranco TROVATORE)

2. ‘Un mercato europeo per le informazioni finanziarie? I dati finanziari come «nuovo petrolio»’ [A European Market for Financial Information? Some Geopolitical Implications of Data as ‘the New Oil] in Analisi Giuridica dell’Economia (2025/2), 639-666

3. ‘Mistakes that Marginalize. Vulnerable Households, Access to Housing, and Financial Advice’, in *European Review of Contract Law* (2025), Vol. 21(3), 305-331

4. ‘I tempi di comunicazione delle informazioni privilegiate: vecchie incertezze e nuove regole dopo l’adozione del Listing Act’ (comment to Cassazione Civile, Sez. II, 24 December 2024, n. 34376), in *Le Società*, 2025, 1185-1202

5. ‘Discipline degli emittenti e sviluppo dei centri finanziari nei mercati europei dei capitali’ [Issuer Regulation and the Creation of Financial Centres in European Capital Markets], *Rivista delle Società* (2024), Vol. 69(5-6), 1004-1026

6. ‘Diritto europeo e discipline nazionali dei mercati finanziari: l’armonizzazione normativa dopo la Capital Markets Union’, in *Banca Borsa Titoli di Credito* (2024/I), Vol. 77(1), 20-64

7. ‘Informazione derivata e sostenibilità: la regolazione dei rating e dei benchmark ESG nell’Unione Europea’ [Derived Informatin and Sustainability: The Regulation of ESG Ratings and Benchmarks], *Rivista delle Società* (2023), Vol. 68(4), 637-681 (with Michele SIRI)

8. ‘Models of Solidarity in the EMU. The Impact of COVID-19 After *Weiss’*, *Utrecht Law Review* (2021), Vol. 17(3), 80-102 (with VAN DEN BRINK, Ton)

9. ‘Il “prezzo dei prezzi”. Una soluzione di mercato ai rischi dell’high frequency trading?’ [The “price of prices”: A Market-Based Solution to the Risks of High Frequency Trading?”], *Rivista delle Società* (2019), Vol. 64(6), 1100-1157 (with SIRI, Michele)

10. ‘Corporate Governance e comunicazione di informazioni privilegiate’ [Corporate governance and selective disclosure of inside information], *Rivista di Diritto Societario* (2018), Vol. 12(1), 183-227 (also published in TOMBARI, Umberto (ed.), Informazione societaria e corporate governance nella società quotata, Quaderni Cesifin, 2018) (with DI NOIA, Carmine)

11. ‘The Expanding Boundaries of MiFID’s Duty to Act in the Client’s Best Interest: The Italian Case’, *Italian Law Journal* (2017), Vol. 3(2), 485-510 (with ENRIQUES, Luca)

12. ‘Avoiding *bis in idem* in Market Abuse Enforcement after *Grande Stevens*: The Case of Italy’, *Revue Internationale des Services Financiers* (2015), Vol. 2(1), 23-34

13. ‘Public enforcement of market abuse bans. The ECtHR *Grande Stevens* decision’, *Oxford Journal of Financial Regulation* (2015), Vol. 1(1), 149-158

14. ‘Jurisdictional Issues In The Circulation And Holding of (Intermediated) Securities: The Advocate General’s Opinion In Kolassa V. Barclays’, *Rivista di diritto internazionale privato e processuale* (2014), Vol. 50(4), 1095-1108

15. ‘La registrazione delle agenzie di rating. La decisione della Commissione di ricorso delle Autorità europee di vigilanza finanziaria nel caso *Global Private Rating Company “Standard Rating” Ltd c. Autorità europea degli strumenti finanziari e dei mercati* (10 gennaio 2014)’ [Registration of European Rating Agencies. The ESAs Board of Appeal Decision in the Case *Global Private Rating*

Company "Standard Rating" Ltd v. European Securities and Markets Authority], *Rivista di diritto societario* (2014), Vol. 8(3), 416-41

16. 'Unleashing the European Securities and Markets Authority: Governance and Accountability after the ECJ Decision on the Short Selling Regulation (Case C-270/12)', *European Business Organization Law Review* (2014), Vol. 15(1), 1-57 (with DI NOIA, Carmine)

17. 'Issuers at Midstream: Disclosure of Multistage Events in the Current and in the Proposed EU Market Abuse Regime', *European Company and Financial Law Review* (2012), Vol. 9(4), 484-529 (with DI NOIA, Carmine)

18. 'Mandatory and Contract-Based Ownership Disclosure', *Uniform Law Review* (2010), Vol. 15, 713-742 (with ENRIQUES, Luca and NOVEMBRE, Valerio)

19. 'Regolamentazione dei mercati finanziari, *rating* e regolamentazione del *rating'* [Financial Markets Regulation, Ratings, and Ratings Regulation], *Analisi Giuridica dell'Economia* (2010), Vol. 9(2), 475-501 (with ENRIQUES, Luca)

20. 'The EU Market Abuse Regime in Practice: Some Margins for Future Action', *Rivista delle Società* (2009), Vol. 54(4), 782-835 (with DI NOIA, Carmine)

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'Emission Trading and Carbon Markets', panel chairperson at the Seminar *Sustainability and Climate Governance – International launch event "The Cambridge Handbook of Sustainable Finance"*, George Mason University, Fairfax (VA), 25 June 2024

'Administrative Sanctions in Capital Markets Law for Misrepresentation in Italy', presented at the Conference *Harmonization of administrative sanctions in EU financial markets law*, University of Luxembourg, 20 June 2024

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'Sustainable investment services', panel chairperson at the Conference *The Impact of EU Sustainable Finance Regulation on Financial Institutions' Governance, Activities and Services*, FSMA, AEDBF and Catholic University of Leuven, Brussels, 22 March 2024

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‘EU legislation and national implementation: the case of the Capital Markets Union’, presented at the Seminar *Balancing Unity and Diversity in EU Legislation*, Utrecht University – RENFORCE, 3 June 2021

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‘Gamestop: investire non è un gioco’ [Gamestop: Investing is not a Game], presented at the Seminar– *Costruisci il tuo futuro, gestisci bene il tuo denaro* (Global Money Week 2022), Genoa, 25 March 2022

‘Securities and Derivatives Exchanges in the EU’, presented at the Conference *Financial Market Infrastructures: Law and Regulation* – 6th EBI Academic Debate, Frankfurt, 24 March 2022

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‘Algorithmic Trading and Market Integrity’, presented at the Conference *Algorithmic Trading Governance & Controls*, InformaConnect (London & Amsterdam), 8 December 2021

‘Tipologie di cripto-attività e categorie giuridiche esistenti nel contesto della regolamentazione finanziaria europea’ [Crypto-assets categories and legal taxonomy in EU financial regulation], presented at the Seminar *Cripto-attività finanziarie: le sfide per i regolatori*, LUISS University (Rome), 2 December 2021

‘Rationale, Potential and Pitfalls of Green Securitization’ (discussant), presented at the Conference *International Financial Regulation and Sustainability: Implementation and Enforcement Challenges*, Max Planck Insititute Luxembourg, University of Genoa, University of Zurich (Luxembourg), 9 November 2021

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‘PMI e mercati finanziari: i mercati di crescita per le PMI’ [SMEs and financial markets: SMEs Growth Markets], presented at the Conference *L’accessio ai mercati finanziari delle PMI*, Grandangolo – Centro di ricerca Paolo Ferro-Luzzi, University of Roma Tre (Rome), 9 July 2021

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‘Shareholder Identification and Communication under the SHRD II’, presented at the Corporate Governance Committee of the ESMA Consultative Working Group (CWG) of ESMA’s Corporate Finance Standing Committee (CFSC), Paris, 7 June 2021

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‘Ne bis in idem in the context of sanctioning capital market offences’, presented at the Conference *Ne Bis in Idem as a General Principle of EU Law*, ERA – Europäische Rechtsakademie (Trier), 16 December 2020

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‘Judicial deference and judicial meddling: monetary policy implications’, presented at the workshop *Capsizing EU law? The divergent views of the BVerfG and the CJEU in Weiss and their impact on the EMU*, University of Utrecht and Renforce, 26 May 2020

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‘Protection standards and enforcement weaknesses in financial markets’, presented at the Conference *Enforcement challenges in multi-level regulatory systems*, University of Luxembourg and Max Planck Institute Luxembourg, 22 May 2019

‘The “price of prices”: A Market-Based Soution to the Risks of Algorythmic and High Frequency Trading?’, presented at the Conference *L’evoluzione tecnologica e il diritto commerciale*, 10th Annual Conference of the Italian Association of Commercial Law Professors “Orizzonti del Diritto Commerciale” (Rome), 22-23 February 2019 (in Italian) and at the *International Summer School Banking & Capital Markets*, European Banking Institute (EBI), Catholic University of Milan, 22 July 2019

‘Competent courts of jurisdiction (the Brussels I Regulation) & Applicable law (the Rome I and Rome II Regulations)’, presented at the Conference of the *International Working Group on Prospectus Regulation & Liability* (Amsterdam), 24-25 January 2019

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‘Profili di governance delle politiche di remunerazione’ [The Governance of Remuneration Policies], presented at the Conference *Politiche e prassi di remunerazione del top management nei settori vigilati*, Bocconi University (Milan), 23 November 2018

‘The Governance of Financial Infrastructures’, presented at the Conference Regulating Finance: Levelling the Cross-Sectoral Playing Field, Radboud University, Nijmegen, 15 October 2018

‘PMI e mercati finanziari’, presented at the Conference *Corporate Governance 2018*, Paradigma (Milan), 3-4 October 2018

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‘The main points of the new Shareholder Rights Directive (2017/828)’, presented at the Conference *Corporate Governance for Banks in the Post-Crisis Environment*, ERA – Europäische Rechtsakademie (Trier), 26-27 April 2018

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‘Shareholder Litigation: A Comparison of the United States and the European Union’, presented at the Workshop *Corporate & Securities Litigation*, University of Illinois at Urbana-Champaign (Chicago), 30 September 2016

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'The Overarching Duty to Act in the Best Interest of Clients in MiFID II: Scope, Contents, Implications', presented at the Conference of the International Working Group on Mifid II (Amsterdam), 28-29 January 2016

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'Incomplete Rules and Discretionary Choices in EU Financial Markets Law: The Role of the Judiciary', presented at the Conference *The Future of Regulation*, IMEDIPA (Institute of Studies in Competition Law and Policy) and EPLO (European Public Law Organization) (Athens), 22 July 2015

'Shareholder Rights Directive: the way forward', presented at the *Corporate Finance Standing Committee (CFSC) – Corporate Governance Advisory Group (CGAG)* meeting, European Securities and Markets Authority (ESMA) (Paris), 8 July 2015

'Contractual Agreements on Shareholder Litigation in the EU', presented at the Seminar *Comparative Perspectives on Shareholder Litigation: Contractual Limitations on Procedure in US Firms*, University of Luxembourg, 24 June 2015

'I *forum* degli azionisti' [Shareholder Forums], presented at the Conference *Principio Capitalistico. Quo Vadis?*, Associazione Gian Franco Campobasso/University of Pescara (Pescara), 5-6 June 2015

'Identificazione degli azionisti ed esercizio dei diritti sociali: un'analisi della proposta di nuova direttiva azionisti' [Shareholder Identification and Voting: An Analysis of the New Shareholder Rights Directive Proposal], presented at the Conference *La revisione della direttiva Shareholder Rights: l'evoluzione della proposta sotto la presidenza italiana e le prospettive di negoziato* [Revision of Shareholder Rights Directive: Developments in the Commission Proposal under the Italian Presidency and Perspectives for Future Negotiations], Italian Ministry of Economy and Finance, Rome, 16 February 2015

'Securities Law Enforcement after *Grande Stevens*', presented at the Conference *Ne Bis in Idem and the Right to a Fair Trial. The case law of the European Court of Human Rights and its consequences on financial markets supervision*, Max Planck Institute Luxembourg, 5 February 2015 (organizer of the conference)

'The ESMA decision and its implications for the governance of the ESAs', presented at the Conference *The landmark 2014 ESMA decision of the European Court of Justice. Perspectives for EU financial services regulation and supervision*, University of Luxembourg, 27 March 2014

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