

Michele Siri is <u>full professor of Corporate law and Financial Markets Regulation at the University of Genoa</u> and teaches business law, capital market law, financial market law and insurance law in the Department of Law. He is a member of the teaching board of the PhD in Law and participates in the national PhD in <u>Sustainable Development and Climate Change</u> (PhD SDC) at IUSS PAVIA (from 2021). He directs the Genoa Center for Law and Finance, the interdisciplinary research unit dedicated to the regulation of capital markets at the national, EU and international levels and in the US is an academic fellow of the <u>Center for</u> <u>Retail Investors & Corporate Inclusion</u>.

He also teaches the law of financial intermediaries and markets in the master course in <u>Economics and</u> <u>Management of Financial Institutions and Markets at the Luigi Bocconi University</u>. As part of the LLM training, he is an adjunct professor in the EBI master in *EU Banking and Financial Regulation* at the <u>Frankfurt School of Finance and Management</u> and gives lectures in the master in European Banking Law at the University of Bologna and in the Master in *Compliance in Financial Institutions* at the Università Cattolica del Sacro Cuore in Milan. He is a member of the scientific committee of <u>Nedcommunity</u>, the Italian association of non-executive and independent directors.

His <u>main research interests</u> include European capital market regulation, sustainable finance, corporate governance, investor protection and supervision of insurance and financial intermediaries. He is the author of a monograph on the competitive regulation of stock markets, three other volumes on insurance law and regulation and over a hundred articles on financial market law. He contributes to the main international academic platforms (<u>Oxford Business Law Blog;</u> <u>Columbia Law School's Blog on Corporation</u> and the Capital Markets; <u>Duke University Financial Economics Center FinReg Blog</u>).

His most recent works are dedicated to ESG stewardship in the European landscape (ECGI European Corporate Governance Institute, Law WP 743/2023), to the self-regulatory codes of listed and non-listed companies (Bocconi Legal Studies Research Paper No. 4328588), to the European legislation on ESG ratings (ECMI European Capital Markets Institute Working Paper No. 15/2022), to the proposal for a directive on directors' duties in the context of the action plan measures on sustainable finance (ECGI European Corporate Governance Institute - Law Working Paper No. 576/2021), to the regulatory implications of using *fintech* for the transition to sustainability (EBOR European Company and Financial Law Review 2022) and the regulatory and technological evolution of equity and derivatives markets (Financial Market Infrastructures: Law and Regulation, Oxford University Press 2021). Works in progress include a forthcoming volume for Cambridge University Press on EU Sustainable Finance Regulation, Supervision and Governance (2024).

He is the director (since 2020) of the <u>Jean Monnet Centre of Excellence on EU Sustainable Finance and</u> <u>Law</u> (<u>EUSFiL</u>), a study centre of European relevance, established with a grant awarded through a competitive call under the Erasmus Jean Monnet Actions, dedicated to academic research and public debate on the transition to a sustainable financial system. The Centre is engaged in research, training and third mission activities and aims to broaden the debate beyond traditional academic boundaries in order to help bridge the gap between theory and practice in sustainable financial governance.

He is the winner and coordinator (since 2023) of the PRIN 2022 Research Project of Significant National Interest, promoted by the Ministry of Universities and Research, on '*ESG Factors and Greenwashing*' for the SH *Social Sciences and Humanities* Macro-Sector SH2 *Institutions, Governance and Legal Systems,* which focuses on understanding ESG factors and exploring their implications for corporate law and financial regulation.

Co-author (with David Ramos Muñoz, University Carlos III of Madrid, and Marco Lamandini, University of Bologna) of the report at the request of the Committee on Economic and Monetary Affairs (ECON) on <u>The current Implementation of the Sustainability-related Financial Disclosures Regulation (SFDR) - With an assessment on how the legislative framework is working for retail investors</u> (Study 03-07-2024).

## **INSTITUTIONAL APPOINTMENTS**

He is the President (since 2021) and a member (since 2018) of the <u>Joint Board of Appeal of the</u> European Supervisory <u>Authorities</u> (EBA, ESMA and EIOPA), the independent body that is in charge of adjudicating appeals by natural and legal persons against decisions of individual relevance taken in the exercise of the mandate conferred by the founding regulations on the European financial supervisory authorities.

He is an academic member of the COMI, <u>Committee of Market Operators and Investors</u>, established by CONSOB for the consultation of *stakeholders* (since 2019). In this context, he chaired the sustainable finance panel and coordinated the working group on the simplification of the listing prospectuses listing prospectuses, secondary offering and offer of debt instruments, which led to the approval of the first <u>COMI guidelines</u> implemented by CONSOB as a recommendation to the market practitioners in July 2023.

He was a permanent member of the *Consultative Working Group for Investor Protection and Intermediaries Standing Committee* (IPISC), appointed by ESMA, the European Securities and Markets Authority (2017-2018).

## ACADEMIC APPOINTMENTS AND AWARDS

He was a member of the Commission for the award of the National Scientific Qualification (a prerequisite for applying for tenured associate or full professor positions in state-recognised universities) for the panel IUS-04 Business Law, appointed by the Ministry of Higher Education and Scientific Research (2021-2023).

He was appointed by the Ministry of Education, Science, Research and Sport of the Slovak Republic as an expert evaluator in the field of law for the first national research evaluation (Periodic evaluation of research, development, artistic and other creative activities, sub-panel law, 2022).

He received the <u>ECMI European Capital Markets Institute 2022 award</u> for the best paper on financial markets for a paper co-authored with M. Gargantini on regulatory policy options for sustainability ratings in the European Union. The paper was presented at the 2022 Annual Conference organised by CEPS - Centre for European Policy Studies in Brussels (9 November 2022).

With a grant awarded following a competitive call in the framework of the Erasmus Jean Monnet Actions, he held a professorship focusing on the cross-sectoral legislation in the EU financial markets (Jean Monnet Professor on EU Financial and Insurance Markets Regulation 2017-2023).

He is an evaluator registered with REPRISE, the register of independent scientific experts, set up at the Ministry of Higher Education and Scientific Research, for the evaluation of basic research, competitive industrial research and social development and for the dissemination of scientific culture (2015-).

## **EDITORIAL BOARDS**

He is a member of the editorial board of *AIDA Europe Research Studies on Insurance Law and Regulation* (Springer) and *EBI Studies in Banking and Capital Markets Law* (Palgrave Macmillan). He is a referee for various international journals and publishers, including: *Corporate Social Responsibility and Environmental Management* (Wiley), *European Company and Financial Law Review* (De Gruyter), *Journal of Enviromental Management* (Elsevier), *European Journal of Social Security* (Intersentia), *Law Department Oxford University Press* (OUP), *Maastricht Journal of European and Comparative Law* (SAGE), *Review of European, Comparative & International Environmental Law* (Wiley).

He is the editor of *Banca Impresa Società* (Il Mulino) and *Assicurazioni* (Giappichelli), class A journals listed by ANVUR (the Italian Agency for the Evaluation of University Research) for the award of the National Scientific Qualification. He is a referee for several Italian journals, including: *Rivista delle Società* (Giuffrè), *Rivista Orizzonti del Diritto Commerciale* (Giappichelli) and *Rivista di Diritto Bancario* (University of Trento).